

# SPECIFICATION

## TITLE OF THE INVENTION

### BANDPASS FILTER AND METHOD FOR INCREASING THE SENSITIVITY ON RECEPTION OF AN OPTICAL SIGNAL

#### 5 BACKGROUND OF THE INVENTION

The transmission capacity of optical wavelength division multiplexed channels in a WDM transmission system can be increased by reducing the frequency separation between the individual optical channels. The spectral efficiency of the transmission system increases with a continual reduction in the frequency separation and  
10 simultaneous increase in the channel data rate. At the same time, the demands imposed on the optical filters which separate the individual optical channels from one another also increase. On the one hand, such optical filters must allow the wanted signal to pass unimpeded as far as possible but, on the other hand, interference from the adjacent channels should be suppressed as efficiently as possible.

15 An optical channel selected in this manner arrives at a receiver which converts the amplitude-modulated light to a sequence of electrical pulses. A receiver of this type is not ideal, in practice; that is, the bandwidth of electronic processing is limited. The decision unit provided at the receiver requires a certain amount of time to distinguish between a logic one and a logic zero of the pulses, so that the time base of  
20 the receiver (such time base being synchronized with the clock of the received signal), has a certain amount of jitter. High-bit-rate data in the received signal, thus is not read correctly.

An object of the present invention is therefore, to provide a bandpass filter which has optimum spectral efficiency in high-bit-rate optical transmission systems,  
25 preferably with regard to optical reception. The bandpass filter should, in particular, be suitable for filtering one or more channels in a WDM or DWDM signal.

#### SUMMARY OF THE INVENTION

On the basis of a bandpass filter for an optical data signal, the transmission curve of which has a passband at a mid-frequency for a bandwidth  $\Delta f$ , the transmission  
30 curve has an attenuation range which covers the mid-frequency. According to the

present invention, attenuation improves the capability to pass frequencies with a desired frequency separation from the mid-frequency. For this purpose, the attenuation range in the region of the mid-frequency may be defined as narrowband or as a transmission notch with steep edges.

5           A favorable setting shows that the capability to pass frequencies with a frequency separation, which approximately to one or two times the data rate, from the mid-frequency is increased by up to 30% in comparison to the capability to pass frequencies close to the mid-frequency.

10           Optimum filter parameters for the bandpass filter according to the present invention are obtained, when a WDM signal having at least one channel is transmitted, as a function of the channel data rate, the channel separation or channel separations (in the case of non-equidistant channels) and the width of the decision window of a downstream optical receiver; that is to say, the time interval which the decision circuit requires in order to distinguish between a logic one and a logic zero of a data signal.

15           One fundamental advantage of the method according to the present invention is that, when a number of bandpass filters are arranged next to one another (i.e., their passbands are arranged next to one another spectrally), it is possible to implement filter arrangements which can efficiently filter broadband data signals at different wavelengths. Filters such as these are currently known as "interleavers" and may be  
20           implemented, for example, by arranging known Bragg filters next to one another.

          The bandpass filter or a number of bandpass filters, according to the present invention having mid-frequencies which are arranged next to one another likewise may be used as a reflector.

25           A further advantage of the present invention is that the use of the bandpass filter makes possible an effective method for increasing the sensitivity of an optical receiver for a data signal at an optical carrier frequency. Increasing the sensitivity is very effective particularly if the optical receiver has a wide decision window.

30           It goes without saying that the present invention also is suitable for low-bit-rate data signals as in the case of electrical signals, in which case the bandpass filter should be in the form of an electronic unit, for example. It follows from this that the bandpass filter according to the present invention may be implemented for any desired bit rates.

Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Invention and the Figures.

### BRIEF DESCRIPTION OF THE FIGURES

5        Fig. 1 shows a first transmission curve of a bandpass filter according to the present invention.

      Fig. 2 shows a second transmission curve of a bandpass filter according to the present invention.

      Fig. 3 shows a third transmission curve of a bandpass filter according to the  
10      present invention.

      Fig. 4 shows the frequency spectrum for a filter arrangement having a number of bandpass filters according to the present invention.

### DETAILED DESCRIPTION OF THE INVENTION

      Fig. 1 shows a first transmission curve  $T$  of a bandpass filter according to the  
15      present invention having a passband  $\Delta f$  around a mid-frequency  $F_0$  which corresponds to a carrier frequency  $F_1$  for a data signal to be filtered. The mid-frequency  $F_0$  is preferably 0 Hz here, but in practice occurs in the optical or radio-frequency band. The transmission curve  $T$  has an attenuation range which occurs substantially at the mid-frequency  $F_0$ . In the present example, the attenuation range is narrowband and  
20      steep. In theory, the attenuation range may be described using a pulsed function, but should not give rise to any sudden interfering phase changes in the optical filtered data signal. If need be, a linear phase shift could be allowed in the filtered data signal. A high-order IIR filter, inter alia, may be used to implement the bandpass filter according to the present invention.

25        Fig. 2 shows a second transmission curve  $T$  of the bandpass filter according to the present invention. The attenuation range has been selected to be U-shaped or V-shaped in this case, so that a passband minimum at the mid-frequency  $F_0$  is produced between two passband maxima at the adjacent frequencies  $F_2$ ,  $F_3$ . The passband minimum at the optical mid-frequency  $F_0$  of the bandpass filter has been selected to be  
30      as narrowband as possible and is approximately 10 – 30% lower than a passband maximum at the adjacent frequencies  $F_2$ ,  $F_3$ . The gain in sensitivity is better, the

more narrowband this suppression. Furthermore, the capability to pass frequencies with a frequency separation  $F_0-F_2$ ,  $F_3-F_0$  (corresponding approximately to half to twice the data rate) from the mid-frequency  $F_0$  is increased by up to 30% in comparison to the capability to pass frequencies close to the mid-frequency  $F_0$ . It goes without saying that further settings may be selected for the passband or attenuation range. When transmitting a WDM signal having a number of channels, filter parameters of bandpass filters which are arranged next to one another may be set separately for each channel.

Fig. 3 shows a third transmission curve  $T$  of the bandpass filter according to the present invention, which is formed from a combination of the attenuation ranges shown in Figs. 1 and 2. For this example, the transmission curve  $T$  having the mid-frequency  $F_0$  is represented via the following normalized transfer function  $H(f)$ :

$$H(f) = c_1 * e^{-c_1 * (f - F_0)^2} + \sum_{k=1}^l c_k * e^{-\{c_k * (f - F_0 + (-1)^k * c_k)\}^2} + c_6 * \delta(f - F_0),$$

where  $c_1, c_2, \dots, c_6$  are setting coefficients and  $\delta(f)$  denotes a function where  $\delta(f=F_0)=1$  and  $\delta(f \neq F_0)=0$ .

This achieves good flexibility in the settings of the bandpass filter. Of course, other transfer functions  $H(f)$  may be defined, for example, for an asymmetric configuration of the transmission curve  $T$  over the bandwidth, which in particular cases could be more suitable than the symmetric transmission curve  $T$  shown here.

Fig. 4 shows a transmission curve of a filter arrangement having a number of bandpass filters according to the present invention, the passbands of which are arranged next to one another. Different filter arrangements are possible, particularly in the case of a WDM or DWDM signal:

- All mid-frequencies of the bandpass filters are set at the carrier frequencies of the channels in the WDM signal.
- Only the even or odd channels are filtered.
- Specific channels are filtered or reflected between two or more adjacent bandpass filters.

This makes it possible to implement very flexible periodic or non-periodic interleavers as well as add/drop modules which, in the event of subsequent optical reception, significantly increase the sensitivity.

5 Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the present invention as set forth in the hereafter appended claims.